

Project Title:
PI Name:

Check List

Please check the items that you are providing.

	Included? (√)
CONTACT INFORMATION (PI)*	
PROJECT INFORMATION	
Project Title*	
Project Abstract*	
Project Duration*	
Technical Narrative*	
Deliverables*	
Company activities*	
Other CATs involved?	
Technology Transfer?*	
ECONOMIC IMPACT	
Letter from company (impact)*	
BUDGET*	
MATCH/COMMITMENT*	
SUPPLEMENTAL OUTREACH AND TRAINING	
PREVIOUS AWARDS	
PI signature*	
PI supervisor signature*	

* Application will not be considered for funding if these items are missing.

Key Dates

- May 26, 2009 - Applications due into the CAT office by 5 PM
- June, 2009 - Applications reviewed by CAT Management Team
- June 19, 2008 (approx.) - Awards announced, feedback provided to PI if applicable.
- July 1, 2009 - CAT Project year begins
- January 15, 2010 - CAT mid-year progress and financial report due
- April, 2010 - RFP issued for 2010-2011 CAT Grants
- June 1, 2010 – All CAT and company match funds to be spent
- June 30, 2010 - CAT Project year ends

1. CONTACT INFORMATION

Project	
Title	
Project (UPN) Number	To be assigned for new projects
Continuing projects	Use existing UPN
Company	
Name	
Address (headquarters)	
Address (if not working with company headquarters)	
Size (less than 250 employees?)	
Name/Title of contact person for economic impact documentation.	
Telephone	
Email	
Name/Title of person for technical issues (if different)	
Telephone	
Email	
Principal Investigator	
Name	
Department	
Telephone	
Email	
Co- Principal Investigator	
Name	
Department	
Telephone	
Email	
Department Supervisor/Head	
Name	
Telephone	
Email	

The PI and department head/unit supervisor attest by their signature below that (a) all committed cash matching funds will be spent by June 30, 2010, and (b) any charged expenditures in excess of the approved CAT budget will be the responsibility of the PI's home department.

PI signature, date	
Supervisor's signature, date	

2. PROJECT INFORMATION

This section will be evaluated on specificity, completeness, and brevity.

Project Title	
Project Abstract <ul style="list-style-type: none"> • 100 word maximum • No confidential information • No technical jargon 	
Project Time frame	
Technical Narrative <ul style="list-style-type: none"> • One-half page maximum • Problem(s) to be addressed • Objective(s) • Method(s) 	
Deliverables (add more lines if needed)	
Goal 1	
Due Date 1	
Goal 2	
Due Date 2	
Goal 3	
Due Date 3	
What activities will the company contribute to the project?	
Are any other CATs involved in this project? If so, which CATs, PIs, and their role(s)	
Technology Transfer (Explain how will technology be transferred to company sponsor?) <ul style="list-style-type: none"> • License • Patent activity • Spinoff • Other 	

3. ECONOMIC IMPACT

Your application score and rank will depend on a credible estimate of the proposed economic impact to the company due to the proposed project. This section should be completed with the help of your company sponsor contact since he/she will be required to document the impact in writing for the current project year.

Estimate

	New jobs	Retained jobs	Added revenue	Cost savings	Financing acquired	Capital expenditures
2009-10						
2010-11						
2011-12						

Source

Who provided the above estimates? _____ PI; _____ Company; _____ Both

How will the proposed project lead to economic benefit to company sponsor?

Is (will) your research be incorporated into your company sponsor's business plans? If so, how?

4. BUDGET, MATCH, COMMITMENT

Submit a completed Budget Request, 2009-10 form specifying both company committed match and requested CAT funds.

With your submitted application, include a letter from company sponsor documenting matching funds committed to project. Include cash match (dollars), in-kind match (type, value), name/title of contact making commitment, period of commitment.

5. OUTREACH AND TRAINING

List any outreach or training activities you plan to undertake that can support and improve the technical success of New York State companies. Be as specific as possible.

CAT funds are available to support these activities. Call CAT office for details.

Examples -

- technical education and training of industry personnel, including short courses and seminars
- training of undergraduate, graduate, and doctoral students through research assistant positions and internships with industry
- utilization on CAT or other industry-sponsored research projects of students who could be subsequently employed within New York State
- activities leading to potential new academic collaborations, company partnerships, funding opportunities, etc.

6. PREVIOUS AWARDS

For projects that have received continuous CAT seed funding for more than two years, use the space below to provide a convincing rationale for additional funding, including, but not limited to strong company support and significant, *documentable* economic impact to the company.

If you received a CAT grant in prior years, use the space below to briefly describe the results, particularly comparing what was proposed to what was achieved.

Indicate if the proposed matching funds committed were received and spent, and if the proposed economic impact was realized.

2008-09

Project title:		
Company co-sponsor:		
Deliverables	Proposed	Achieved
Industry matching funds	Proposed, \$	Received and spent, \$
Economic impact	Proposed, jobs/\$	Realized, jobs/\$

2007-08

Project title:		
Company co-sponsor:		
Deliverables	Proposed	Achieved
Industry matching funds	Proposed, \$	Received and spent, \$
Economic impact	Proposed, jobs/\$	Realized, jobs/\$

2006-07

Project title:		
Company co-sponsor:		
Deliverables	Proposed	Achieved
Industry matching funds	Proposed, \$	Received and spent, \$
Economic impact	Proposed, jobs/\$	Realized, jobs/\$